

# Abelian groups with partial decomposition bases in $L_{\infty\omega}^\delta$ , Part II

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*Dedicated to Professor Rüdiger Göbel in honor of his 70th birthday*

ABSTRACT. We consider abelian groups with partial decomposition bases in  $L_{\infty\omega}^\delta$  for ordinals  $\delta$ . Jacoby, Leistner, Loth and Strüngmann developed a numerical invariant deduced from the classical global Warfield invariant and proved that if two groups have identical modified Warfield invariants and Ulm-Kaplansky invariants up to  $\omega\delta$  for some ordinal  $\delta$ , then they are equivalent in  $L_{\infty\omega}^\delta$ . Here we prove that the modified Warfield invariant is expressible in  $L_{\infty\omega}^\delta$  and hence the converse is true for appropriate  $\delta$ .

## 1. Introduction

The classical theorem of Ulm [U] gives a complete classification of countable abelian  $p$ -groups in terms of numerical invariants, the *Ulm-Kaplansky invariants*. For uncountable  $p$ -groups this theorem is false; however, Hill [H] and Walker [Wal] were able to extend it to the class of totally projective  $p$ -groups, the largest natural class of abelian  $p$ -groups in which the Ulm-Kaplansky invariants distinguish between non-isomorphic groups. It was Szmielew [Sz] who first considered abelian groups from a model-theoretic point of view. Barwise and Eklof [BE] took up Szmielew's approach and investigated abelian  $p$ -groups of arbitrary cardinality in  $L_{\infty\omega}^\delta$ , leading to a generalization of Ulm's theorem and a characterization of  $L_{\infty\omega}$ -equivalence classes of torsion groups.

Warfield groups are defined to be direct summands of simply presented groups or, alternatively, are abelian groups possessing a nice decomposition basis with simply presented cokernel. By adding new invariants, the *Warfield invariants*, Ulm's theorem was generalized to Warfield groups by Warfield [War] in the  $p$ -local case and by Hunter, Richman [HR] and Stanton [St] in the global case. Generalizing Warfield groups, Jacoby [J1], [J2] defined abelian groups possessing a partial decomposition basis and was able to prove classification theorems in  $L_{\infty\omega}$  in the global case and in  $L_{\infty\omega}^\delta$  for modules over a complete discrete valuation ring. Independently, similar results were obtained by Göbel, Leistner, Loth and Strüngmann [GLLS] who considered  $\mathbb{Z}_p$ -modules with nice decomposition bases. In [JLLS], the authors together with Leistner and Strüngmann introduced invariants deduced from the global Warfield invariants and proved a classification theorem for abelian

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groups with partial decomposition bases in  $L_{\infty\omega}^\delta$  (see Theorem 4.1). In this paper, the converse of this result is proved for appropriate ordinals  $\delta$ . More specifically, we show that the aforementioned invariants are expressible in  $L_{\infty\omega}^\delta$  whenever  $\delta = \omega\gamma$  and  $\gamma$  is a limit ordinal (Theorem 4.9). Consequently, the converse of Theorem 4.1 holds for those ordinals  $\delta$  (Corollary 4.10).

For notation and terminology on abelian groups and on model theory, we may refer to the books [F1], [F2], [L] and [R].

### 2. Algebraic background

All groups considered in this paper are abelian. The reader should be familiar with the concepts of the  $p$ -height of an element  $x$ , written  $|x|_p$ , the length of a group  $A$ , written  $l(A)$ , the concept of a Warfield group and that of a decomposition set and decomposition basis. The height is used to define the Ulm-Kaplansky invariants of a group  $A$ ,  $u_p(\alpha, A)$  for each ordinal  $\alpha$  and prime  $p$ . The global Warfield invariants  $w(c, p, e, A)$  are defined for each compatibility class of Ulm matrices  $c$ , prime  $p$  and equivalence class of Ulm sequences  $e$ . These cardinal numbers, together with the Ulm-Kaplansky invariants, form a complete set of isomorphism invariants for Warfield groups (see Hunter-Richman [HR], Stanton [St]).

Barwise and Eklof’s [BE] modified Ulm-Kaplansky invariant is defined as

$$\hat{u}_p(\alpha, A) = \min\{u_p(\alpha, A), \omega\}.$$

If we add the invariant  $\hat{u}_p(\infty, A) = \min\{\dim(p^\infty A[p]), \omega\}$ , these invariants classify all torsion groups in  $L_{\infty\omega}$  (see [BE]). Because of this, a subgroup of particular interest is that of all torsion elements of  $A$ , which we denote  $t(A)$ . For a subgroup  $G$  of  $A$ , let  $G^0 = \{a \in A : ra \in G \text{ for some nonzero integer } r\}$ .

The class of groups considered in this paper consists of those with a partial decomposition basis [J2]. We say  $\mathcal{C}$  is a *partial decomposition basis* for  $A$  if

- (i)  $\mathcal{C}$  is a nonempty collection of finite subsets of  $A$ ,
- (ii) if  $X \in \mathcal{C}$ , then  $X$  is a decomposition set, and
- (iii) if  $X \in \mathcal{C}$  and  $x \in A$ , then there is a  $Y \in \mathcal{C}$  such that  $X \subseteq Y$  and  $x \in \langle Y \rangle^0$ .

Note that if  $\mathcal{C}$  is a partial decomposition basis, so is  $\{\{a_1x_1, \dots, a_nx_n\} : a_1, \dots, a_n \in \mathbb{Z} \setminus \{0\} \text{ and } x_1, \dots, x_n \in X \text{ for some } X \in \mathcal{C}\}$ , a fact we will use repeatedly.

We will need the following definitions “up to  $\alpha$ ” for an ordinal  $\alpha$ .

For  $\beta$  and  $\gamma$  ordinals we define  $\beta \geq_\alpha \gamma$  to mean  $\beta \geq \gamma$  if  $\gamma < \alpha$  and  $\beta \geq \alpha$  if  $\gamma \geq \alpha$ . We write  $\beta =_\alpha \gamma$  if

$$\min\{\beta, \alpha\} = \min\{\gamma, \alpha\}.$$

Then by  $\beta >_\alpha \gamma$  we mean  $\beta \geq_\alpha \gamma$  and  $\beta \neq_\alpha \gamma$ .

If  $M = [m_{p,i}]$  and  $N = [n_{p,i}]$  are Ulm matrices, we define  $M \geq_\alpha N$  as  $m_{p,i} \geq_\alpha n_{p,i}$  for all  $p$  and  $i$ , and other relations are defined similarly. We say  $M \sim_\alpha N$  if and only if for some  $m$  and  $n$ ,  $mM \geq_\alpha N$  and  $nN \geq_\alpha M$ . If  $c$  is a compatibility class, we write  $M \sim_\alpha c$  if  $M \sim_\alpha N$  for some  $N \in c$ . We write  $c \sim_\alpha c'$  to mean  $M \sim_\alpha N$  for some  $M \in c$  and  $N \in c'$ . Notice that  $c \sim_\alpha c'$  if and only if there are  $M = [m_{p,i}] \in c$  and  $N = [n_{p,i}] \in c'$  such that  $m_{p,i} =_\alpha n_{p,i}$  for all  $p$  and  $i$  (cf. [JLLS]).

In the same way, if  $(\alpha_i)$  and  $(\beta_i)$  are Ulm sequences, we define  $(\alpha_i) \geq_\alpha (\beta_i)$  if and only if for all  $i$   $\alpha_i \geq_\alpha \beta_i$ . The other relationships are defined similarly.  $(\alpha_i) \sim_\alpha (\beta_i)$  if and only if for some  $m$  and  $n$ ,  $\alpha_{i+m} =_\alpha \beta_{i+n}$  for all  $i$ . If  $e$  is an

equivalence class of Ulm sequences, we write  $(\alpha_i) \sim_\alpha e$  if  $(\alpha_i) \sim_\alpha (\beta_i)$  for some  $(\beta_i) \in e$  and  $e \sim_\alpha e'$  if  $(\alpha_i) \sim_\alpha (\beta_i)$  for some  $(\alpha_i) \in e$  and  $(\beta_i) \in e'$ .

If  $A$  is a group with a partial decomposition basis  $\mathcal{C}$ , we define

$$\hat{w}(c, p, e, A) = \sup\{|x \in X : X \in \mathcal{C}, U(x) \in c \text{ and } U_p(x) \in e|\}$$

where  $c$  is a compatibility class of Ulm matrices,  $p$  a prime and  $e$  is an equivalence class of Ulm sequences. Notice that if  $A$  has a decomposition basis, then  $\hat{w}(c, p, e, A) = \min\{w(c, p, e, A), \omega\}$ . Jacoby, Leistner, Loth and Strüngmann [JLLS] generalized this definition up to  $\alpha$  an ordinal:

$$\hat{w}_\alpha(c, p, e, A) = \min\left\{\sum_{e' \sim_\alpha e, c' \sim_\alpha c} \hat{w}(c', p, e', A), \omega\right\}.$$

They proved that this definition is independent of the choice of  $\mathcal{C}$  and gave a simpler characterization of the invariant:

LEMMA 2.1. *Let  $A$  be a group with partial decomposition basis  $\mathcal{C}$ ,  $\alpha$  an ordinal,  $c$  a compatibility class of Ulm matrices,  $p$  a prime and  $e$  an equivalence class of Ulm sequences. Then  $\hat{w}_\alpha(c, p, e, A)$  is the largest integer  $n$ , if it exists, such that there are  $X \in \mathcal{C}$  and  $x_1, \dots, x_n \in X$  such that  $U(x_i) \sim_\alpha c$  and  $U_p(x_i) \sim_\alpha e$  for all  $i = 1, \dots, n$ . If no such  $n$  exists,  $\hat{w}_\alpha(c, p, e, A) = \omega$ .*

### 3. Model theory background

Throughout this paper, we will consider the language  $L_{\infty\omega}$  which is an extension of an ordinary first order language  $L$  that allows infinite conjunctions and disjunctions. In this paper we will take  $L$  to be the language of group theory with  $0, +$  and  $-$ . Each formula  $\varphi$  in this language has a quantifier rank  $qr(\varphi)$ , an ordinal that represents how deeply nested the quantifiers are. The collection of all formulas of quantifier rank less than or equal to  $\alpha$  is called  $L_{\infty\omega}^\alpha$ . See Barwise and Eklof [BE] for details. We will write  $A \equiv_\infty B$  to represent equivalence in  $L_{\infty\omega}$  and  $A \equiv_\alpha B$  to represent equivalence in  $L_{\infty\omega}^\alpha$ .

In the process of proving their classification theorem Barwise and Eklof proved the following expressibility lemma for torsion groups, which is of interest in its own right [BE]. It says that  $p$ -height is expressible in  $L_{\infty\omega}$  and gives the quantifier rank of the formula. The proof applies just as well to arbitrary groups.

LEMMA 3.1. *Let  $\alpha = \omega\delta + n$  be an ordinal where  $n < \omega$ . Then there is an existential formula  $\theta_\alpha(x)$  whose quantifier rank is*

$$\begin{aligned} &\delta \text{ if } n = 0, \\ &\delta + 1 \text{ if } n > 0 \end{aligned}$$

*such that for any group  $A$  and any  $a \in A$ ,  $A \models \theta_\alpha[a]$  if and only if  $a \in p^\alpha A$ .*

### 4. The classification theorem

Let  $\mathbb{Z}_p$  denote the ring of integers localized at the prime  $p$ . Jacoby, Leistner, Loth and Strüngmann [JLLS] proved that the modified Ulm-Kaplansky and Warfield invariants classify all groups with partial decomposition bases in  $L_{\infty\omega}^\delta$  in the following sense:

THEOREM 4.1. *Let  $A$  and  $B$  be groups with partial decomposition bases and let  $\delta$  be an ordinal. Suppose*

- (1)  $\hat{u}_p(\alpha, A) = \hat{u}_p(\alpha, B)$  for all primes  $p$  and  $\alpha < \omega\delta$ ;
- (2)  $\hat{w}_{\omega(\nu+1)}(c, p, e, A) = \hat{w}_{\omega(\nu+1)}(c, p, e, B)$  for every compatibility class  $c$  of Ulm matrices, prime  $p$ , equivalence class  $e$  of Ulm sequences and  $\nu < \delta$ ;
- (3) if  $l(t(A \otimes \mathbb{Z}_p)) < \omega\delta$ , then  $\hat{u}_p(\infty, A) = \hat{u}_p(\infty, B)$  for all primes  $p$ .

Then  $A \equiv_\delta B$ .

In this paper we seek to prove the converse of this theorem, specifically, if  $A \equiv_\delta B$  for appropriate  $\delta$  then  $\hat{w}_{\omega(\nu+1)}(c, p, e, A) = \hat{w}_{\omega(\nu+1)}(c, p, e, B)$  for every compatibility class  $c$  of Ulm matrices, prime  $p$ , equivalence class  $e$  of Ulm sequences and  $\nu < \delta$ .

Notice that  $A \equiv_\delta B$  implies (1) and (3) whenever  $\delta$  is a limit ordinal (cf. [BE, Theorem 3.1]). We will need to be able to express the modified Warfield invariant in  $L_{\infty\omega}^\delta$ . As it turns out, partial decomposition bases are not expressible in  $L_{\infty\omega}$  [J2], so we turn to a modification of an invariant defined by Stanton [St], which we then prove is expressible and equivalent to the definition above. The following are definitions of Stanton [St], modified to consider only ordinals up to  $\alpha$ . Let  $\alpha$  be an ordinal,  $A$  a group,  $M = [m_{q,i}]$  an Ulm matrix,  $p$  a prime and  $\mu = M_p$ .

$$\begin{aligned} M_\alpha(A) &= \{x \in A : U(x) \geq_\alpha M\}. \\ M_\alpha^*(A) &= \langle x \in M_\alpha(A) : U(x) \approx_\alpha M \text{ or } x \text{ is torsion} \rangle. \\ \mu_\alpha A &= \{x \in A : U_p(x) \geq_\alpha M_p\}. \\ \mu_\alpha^* A &= \langle x \in \mu_\alpha A : |p^i x|_p \neq_\alpha m_{p,i} \text{ for infinitely many } i \text{ or } x \text{ is torsion} \rangle. \\ (M; p)_\alpha^* A &= (M_\alpha^*(A) + \mu_\alpha^* A) \cap M_\alpha(A). \end{aligned}$$

Let  $c$  be a compatibility class of Ulm matrices,  $p$  a prime, and  $e$  an equivalence class of Ulm sequences. We define the modified Stanton invariant as follows:

$$\widehat{ST}_\alpha(c, p, e, A) = \min\{\sup\{\text{rank}(M_\alpha(A)/(M; p)_\alpha^* A)\}, \omega\}$$

where the supremum is defined over all  $M \in c$  with  $M_p \in e$ .

LEMMA 4.2. *Let  $A$  be a group,  $\alpha$  an ordinal,  $p$  a prime,  $M = [m_{q,i}]$  an Ulm matrix and  $M' = pM$ .*

- (1) *Suppose  $m_{p,0} < \alpha$ . Then  $x \mapsto px$  defines a surjective map  $M_\alpha(A) \rightarrow M'_\alpha(A)$ . If  $x \in M_\alpha(A)$ , then  $x \in (M; p)_\alpha^* A$  if and only if  $px \in (M'; p)_\alpha^* A$ . Hence  $x \mapsto px$  induces an isomorphism*

$$M_\alpha(A)/(M; p)_\alpha^* A \xrightarrow{\sim} M'_\alpha(A)/(M'; p)_\alpha^* A.$$

- (2) *If  $m_{p,0} \geq \alpha$  then  $M_\alpha(A) = M'_\alpha(A)$  and  $(M; p)_\alpha^* A = (M'; p)_\alpha^* A$ .*

PROOF. Letting  $M' = [m'_{q,i}]$  we have for every  $i < \omega$ ,  $m'_{p,i} = m_{p,i+1}$  and  $m'_{q,i} = m_{q,i}$  if  $q \neq p$ . Let  $\mu' = M'_p$ .

For case (1), suppose  $m_{p,0} < \alpha$ . If  $x \in M_\alpha(A)$  then  $U(x) \geq_\alpha M$ , so for any  $i < \omega$  we have  $|p^i(px)|_p \geq_\alpha m_{p,i+1} = m'_{p,i}$ , hence  $px \in M'_\alpha(A)$ . If  $x' \in M'_\alpha(A)$ , then  $U(x') \geq_\alpha M'$ , and in particular  $|x'|_p \geq_\alpha m'_{p,0} = m_{p,1} > m_{p,0}$ . Then there is an  $x \in A$  such that  $|x|_p \geq m_{p,0}$  and  $px = x'$ . It may be verified that  $x \in M_\alpha(A)$ , hence  $x \mapsto px$  maps  $M_\alpha(A)$  onto  $M'_\alpha(A)$ .

Now let  $x \in M_\alpha(A)$ . First we assume that  $x \in (M; p)_\alpha^* A$ , say  $x = z_1 + \cdots + z_k$  where  $z_1, \dots, z_k$  are generators of  $(M; p)_\alpha^* A$ . Consider  $z = z_i$  for some  $i$ . If  $z$  is torsion, so is  $pz$ . Suppose  $z$  is not torsion. If  $z$  is a generator of  $M_\alpha^*(A)$ , then  $z \in M_\alpha(A)$  and  $U(pz) \sim U(z) \approx_\alpha M \sim M'$ . Since  $pz \in M'_\alpha(A)$  we obtain  $pz \in M'_\alpha^*(A)$ .

Now suppose  $z$  is a generator of  $\mu_\alpha^*A$ . Then  $|p^i pz|_p = |p^{i+1}z|_p \neq_\alpha m_{p,i+1} = m'_{p,i}$  for infinitely many  $i$ . In any case,  $pz \in M_\alpha^{**}(A) + \mu_\alpha^*A$ . Since  $z_1 + \dots + z_k \in M_\alpha(A)$  we have  $p(z_1 + \dots + z_k) \in (M'; p)_\alpha^*A$ , hence  $px \in (M'; p)_\alpha^*A$ .

Conversely, assume that  $px \in (M'; p)_\alpha^*A$ , say  $px = z'_1 + \dots + z'_k$  for  $z'_1, \dots, z'_k$  generators of  $(M'; p)_\alpha^*A$ . Let  $z'$  be one of the  $z'_i$ . Then  $U_p(z') \geq_\alpha M'_p$ . In particular,  $|z'|_p \geq_\alpha m'_{p,0} = m_{p,1} > m_{p,0}$ , so there is  $z \in A$  such that  $pz = z'$  and  $|z|_p \geq m_{p,0}$ . Also, for  $j \geq 1$ ,  $|p^j z|_p = |p^{j-1}z'|_p \geq_\alpha m'_{p,j-1} = m_{p,j}$ , so  $z \in \mu_\alpha A$ . If  $z'$  is torsion, so is  $z$ . Now suppose  $z'$  is not torsion. If  $z'$  is a generator of  $\mu_\alpha^*A$  then  $|p^i z'|_p \neq_\alpha m'_{p,i}$  for infinitely many  $i$ , so  $|p^{i+1}z|_p = |p^i z'|_p \neq_\alpha m'_{p,i} = m_{p,i+1}$  for infinitely many  $i$  and  $z \in \mu_\alpha^*A$  follows. Now suppose  $z'$  is a generator of  $M_\alpha^{**}(A)$ . Then there is  $z \in M_\alpha(A)$  such that  $z' = pz$  and we have  $U(z) \sim U(z') \approx_\alpha M' \sim M$ , hence  $z \in M_\alpha^*(A)$ . In all cases we obtain  $z \in M_\alpha^*(A) + \mu_\alpha^*A$ . Let  $y = z_1 + \dots + z_k$ . Then  $y \in M_\alpha^*(A) + \mu_\alpha^*A$  and  $py = z'_1 + \dots + z'_k = px$ . Since  $U_p(y) \geq_\alpha M_p$  and  $|q^i y|_q = |q^i py|_q = |q^i px|_q \geq_\alpha m_{q,i}$  for all  $i < \omega$  and  $q \neq p$  we have  $y \in M_\alpha(A)$ , hence  $y \in (M; p)_\alpha^*A$ . Since  $x - y \in t(M_\alpha(A))$  we conclude that  $x = y + (x - y) \in (M; p)_\alpha^*A$ .

For case (2), suppose  $m_{p,0} \geq_\alpha$ . Then  $M =_\alpha M'$  and a straightforward computation yields  $M_\alpha(A) = M'_\alpha(A)$ ,  $M_\alpha^*(A) = M'^*_\alpha(A)$  and  $\mu_\alpha^*A = \mu'^*_\alpha A$ .  $\square$

Applying Lemma 4.2 successively allows us to substitute the Ulm matrix  $M$  by a  $p$ -multiple when calculating the Stanton invariant:

**COROLLARY 4.3.** *Let  $A$  be a group,  $\alpha$  an ordinal,  $p$  a prime,  $M$  an Ulm matrix and  $n < \omega$ . Then  $M_\alpha(A)/(M; p)_\alpha^*A \cong (p^n M)_\alpha(A)/(p^n M; p)_\alpha^*A$ .*

**LEMMA 4.4.** *Let  $A$  be a group with partial decomposition basis  $\mathcal{C}$ ,  $\alpha$  an ordinal,  $p$  a prime,  $c$  a compatibility class of Ulm matrices and  $e$  an equivalence class of Ulm sequences. If  $M \in c$  and  $M_p \in e$ , then  $\text{rank}(M_\alpha(A)/(M; p)_\alpha^*A) =_\omega \hat{w}_\alpha(c, p, e, A)$ .*

**PROOF.** By a suitable choice of  $\mathcal{C}$  we may assume that if  $X \in \mathcal{C}$  then for any  $a_1, \dots, a_n \in \mathbb{Z} \setminus \{0\}$  and  $x_1, \dots, x_n \in X$ ,  $\{a_1 x_1, \dots, a_n x_n\} \in \mathcal{C}$ . Let  $M = [m_{q,i}] \in c$  such that  $M_p \in e$ . Suppose  $\hat{w}_\alpha(c, p, e, A) \geq n$ , say  $x_1, \dots, x_n \in X$ ,  $X \in \mathcal{C}$  and  $U(x_i) \sim_\alpha c$ ,  $U_p(x_i) \sim_\alpha e$  for  $1 \leq i \leq n$  (cf. Lemma 2.1).

Consider  $x_i$  for some  $1 \leq i \leq n$ . Since  $U(x_i) \sim_\alpha c$  and  $M \in c$ , there is an  $m_i$  such that  $m_i U(x_i) \geq_\alpha M$ . Then for  $m = \prod_{i=1}^n m_i$  we have  $U(mx_i) \geq_\alpha M$ . Since  $U_p(mx_i) \sim U_p(x_i) \sim_\alpha M_p$ , there are  $k_i$  and  $k'_i$  such that  $U_p(p^{k_i} mx_i) =_\alpha p^{k'_i} M_p$ . Letting  $k' = \sum_{i=1}^n k'_i$  and  $l_i = k_i + \sum_{j \neq i} k'_j$  for each  $i$ , we have  $U_p(p^{l_i} mx_i) =_\alpha p^{k'} M_p$ . Note that for  $q \neq p$ ,  $U_q(p^{l_i} mx_i) = U_q(mx_i) \geq_\alpha M_q = (p^{k'} M)_q$ , so  $U(p^{l_i} mx_i) \geq_\alpha p^{k'} M$ . Writing  $x_i$  instead of  $p^{l_i} mx_i$ , as the choice of  $\mathcal{C}$  allows us to do, and writing  $M$  instead of  $p^{k'} M$ , as the corollary allows us to do, we see that we may assume  $x_i \in M_\alpha(A)$  and  $U_p(x_i) =_\alpha M_p$  for all  $i$ .

We wish to prove that  $x_1, \dots, x_n$  are independent representatives of  $M_\alpha(A)$  over  $(M; p)_\alpha^*A$  and of either prime or infinite order. Suppose

$$a_1 x_1 + \dots + a_n x_n = z_1 + \dots + z_r \in M_\alpha(A)$$

where  $Z = \{z_1, \dots, z_r\}$  is a set of generators of  $\mu_\alpha^*A$  and  $M_\alpha^*(A)$ . Let  $Y \in \mathcal{C}$ , where  $X \subseteq Y$  and  $Z \subseteq \langle Y \rangle^0$ . There is a multiplier  $p^k a$ , where  $a$  is relatively prime to  $p$ , such that  $p^k a Z \subseteq \langle Y \rangle$ .

Consider  $z \in Z$ . First suppose  $z$  is not torsion. Suppose  $z$  is a generator of  $M_\alpha^*(A)$ . Then  $z \in M_\alpha(A)$  and  $U(z) \approx_\alpha M$ . Let  $p^k az = c_1 x_1 + \dots + c_n x_n + y$  for some  $y \in \langle Y \setminus \{x_1, \dots, x_n\} \rangle$ . For every  $m > 0$  there are  $q$  and  $j$  such that

$|q^j z|_q > m_{q,j+|m|_q}$  and  $m_{q,j+|m|_q} < \alpha$ . Take arbitrary  $m$  and associated  $q$  and  $j$  and get  $m_{q,j+|m|_q} < |q^j p^k a z|_q = \min\{|q^j c_i x_i|_q, |q^j y|_q\} \leq |q^j c_i x_i|_q$  for all  $i$ . Then  $m_{q,j+|m|_q} < \alpha$  and either  $|q^j c_i x_i|_q \geq \alpha$  or else  $m_{q,j+|m|_q} < |q^j c_i x_i|_q < \alpha$ . This means that  $U(c_i x_i) \sim_\alpha M$ . If  $c_i \neq 0$ , this would contradict  $U(c_i x_i) \sim U(x_i) \sim_\alpha M$ , so we must have  $c_i = 0$  for all  $i$  and so  $p^k a z \in \langle Y \setminus \{x_1, \dots, x_n\} \rangle$ .

Now let  $z \in Z$  be a generator of  $\mu_\alpha^* A$ . Given any  $j_0 < \omega$  we can find  $j$  such that  $j+k \geq j_0$  and  $|p^{j+k} z|_p >_\alpha m_{p,j+k}$  therefore  $m_{p,j+k} < \alpha$ . We may write  $p^k a z = b_1 x_1 + \dots + b_n x_n + y$  for some  $y \in \langle Y \setminus \{x_1, \dots, x_n\} \rangle$ . Then for all  $i$ ,  $|p^{j+k} x_i|_p = m_{p,j+k} < |p^j p^k a z|_p \leq |p^j b_i x_i|_p$ , since the  $x_i$  form a decomposition set. From this it follows that  $p^{k+1} |b_i|$  for all  $i$ . Now we may write  $p^k a z = p^{k+1} x + y$ , for some  $x \in \langle x_1, \dots, x_n \rangle$  and  $y \in \langle Y \setminus \{x_1, \dots, x_n\} \rangle$ . Finally, if  $z$  is torsion,  $p^k a z = 0$  is also in this form.

In either case it follows that  $p^k a(a_1 x_1 + \dots + a_n x_n)$  may be written in the form  $p^{k+1} x + y$  for some  $x \in \langle x_1, \dots, x_n \rangle$  and  $y \in \langle Y \setminus \{x_1, \dots, x_n\} \rangle$ . Then for any  $j$ ,  $p^j p^k a(a_1 x_1 + \dots + a_n x_n) = p^{j+k+1} x + p^j y$ . Equating  $x_i$  terms,  $p^{j+k} a a_i x_i$  is a multiple of  $p^{j+k+1} x_i$  for all  $i$ .

First suppose  $m_{p,j} < \alpha$  for all  $j$  and consider  $1 \leq i \leq n$ . From this we see  $|p^{j+k} a a_i x_i|_p > |p^{j+k} x_i|_p = m_{p,j+k}$  for all  $j$  and so  $a a_i x_i \in \mu_\alpha^* A$ . Since  $a$  is relatively prime to  $p$ ,  $a_i x_i \in M_\alpha^* A$ . Also  $a_i x_i \in M_\alpha(A)$ , so  $a_i x_i \in (M; p)_\alpha^* A$  for all  $i$ , proving independence. For each  $i$   $p x_i \in \mu_\alpha^* A \cap M_\alpha(A)$ . Also  $x_i \notin (M; p)_\alpha^* A$  since otherwise  $p^k a x_i$  would be a multiple of  $p^{k+1} x_i$  for some  $k \geq 0$  and  $a$  relatively prime to  $p$ , a contradiction. This proves that each  $x_i + (M; p)_\alpha^* A$  has order  $p$ . It follows that  $\text{rank}(M_\alpha(A)/(M; p)_\alpha^* A) \geq n$ .

Next suppose  $m_{p,j} \geq \alpha$  for some  $j$ . By taking  $p$ -multiples of each  $x_i$  and  $M$  we may assume  $m_{p,j} \geq \alpha$  for all  $j$ . Then for any  $z$  of  $\mu_\alpha A$ ,  $|p^j z|_p \geq \alpha$  and  $|p^j z|_p =_\alpha \alpha =_\alpha m_{p,j}$  for all  $j$ . It follows that  $\mu_\alpha^* A = t(\mu_\alpha A)$ . If  $z \in Z$  is not torsion,  $z$  must be a generator of  $M_\alpha^*(A)$  and hence  $p^k a z \in \langle Y \setminus \{x_1, \dots, x_n\} \rangle$ . If  $z$  is torsion,  $p^k a z = 0 \in \langle Y \setminus \{x_1, \dots, x_n\} \rangle$ . Equating the  $x_i$  terms gives  $p^k a a_i x_i = 0$ , so  $a_i x_i$  is torsion and hence in  $(M; p)_\alpha^* A$  for all  $i$ , again proving independence. We claim the order of each  $x_i + (M; p)_\alpha^* A$  is infinite. Suppose  $m x_i \in (M; p)_\alpha^* A$  for some  $m \neq 0$ . Then, as before,  $p^k a m x_i = 0$ , a contradiction since  $x_i$  is in a decomposition set. Again it follows that  $\text{rank}(M_\alpha(A)/(M; p)_\alpha^* A) \geq n$ .

Now suppose  $\hat{w}_\alpha(c, p, e, A) = n$  and  $\{x_1, \dots, x_n\}$  is a maximal set. Again we may assume that  $x_i \in M_\alpha(A)$  and  $U_p(x_i) =_\alpha M_p$  for all  $i$ . We wish to show that  $\{x_1 + (M; p)_\alpha^* A, \dots, x_n + (M; p)_\alpha^* A\}$  is a maximal independent set in  $M_\alpha(A)/(M; p)_\alpha^* A$ . Suppose  $x_1, \dots, x_n, y$  are independent representatives. Choose  $Y \in \mathcal{C}$  such that  $X \subseteq Y$  and  $y \in \langle Y \rangle^0$ .

We may write  $ay = a_1 x_1 + \dots + a_n x_n + b_1 y_1 + \dots + b_m y_m$  where  $a > 0$  and  $y_1, \dots, y_m \in \langle Y \setminus \{x_1, \dots, x_n\} \rangle$ . Since  $y \in M_\alpha(A)$ , for all  $q$  and  $k$ ,

$$m_{q,k} \leq_\alpha |q^k y|_q \leq |q^k a y|_q = \min_{i,j} \{|q^k a_j x_j|_q, |q^k b_i y_i|_q\}$$

and so for all  $i$ ,  $|q^k b_i y_i|_q \geq_\alpha m_{q,k}$  and  $b_i y_i \in M_\alpha(A)$ . Since  $\{x_1, \dots, x_n, b_i y_i\} \in \mathcal{C}$  for all  $i$  by our choice of  $\mathcal{C}$ , we find that for each  $i$  either  $U(b_i y_i) \sim_\alpha c$  or  $U_p(b_i y_i) \sim_\alpha e$ , by the maximality of  $\{x_1, \dots, x_n\}$ . First suppose  $U(b_i y_i) \sim_\alpha c$ . Then  $U(b_i y_i) \sim_\alpha M$  and  $b_i y_i \in M_\alpha^*(A)$ . Now suppose  $U_p(b_i y_i) \sim_\alpha e$ . Suppose for some  $k_0$   $|p^k b_i y_i|_p =_\alpha m_{p,k}$  for all  $k \geq k_0$ . Then  $p^{k_0} U_p(b_i y_i) =_\alpha p^{k_0} M_p$  and  $M_p \sim_\alpha U_p(b_i y_i) \sim_\alpha e \sim M_p$ , a contradiction. This leads to  $|p^k b_i y_i| \neq_\alpha m_{p,k}$  for infinitely many  $k$  and so  $b_i y_i \in \mu_\alpha^* A \cap M_\alpha(A)$ . In either case  $b_i y_i \in (M; p)_\alpha^* A$ .

Then  $ay + (M; p)_\alpha^* A = a_1x_1 + \dots + a_nx_n + (M; p)_\alpha^* A$ , contradicting independence. This proves that  $\text{rank}(M_\alpha(A)/(M; p)_\alpha^* A) =_\omega \hat{w}_\alpha(c, p, e, A)$  for any  $M \in c$  with  $M_p \in e$ .  $\square$

**COROLLARY 4.5.** *Suppose  $A$  is a group with a partial decomposition basis. Then  $\widehat{ST}_\alpha(c, p, e, A) = \hat{w}_\alpha(c, p, e, A)$  for all  $\alpha, c, p$  and  $e$ .*

**COROLLARY 4.6.** *Suppose  $A$  is a group with a partial decomposition basis. Then  $\widehat{ST}(c, p, e, A) = \hat{w}(c, p, e, A)$  for all  $c, p$  and  $e$ .*

**PROOF.** Choose  $\alpha = \sup\{p\text{-length}(A) : p \text{ prime}\}$   $\square$

**COROLLARY 4.7.** *If  $A$  is a group with partial decomposition basis, then*

$$\widehat{ST}_\alpha(c, p, e, A) = \min\{\text{rank}(M_\alpha(A)/(M; p)_\alpha^* A), \omega\}$$

for every  $M \in c$  with  $M_p \in e$ .

**LEMMA 4.8.** *For any  $\alpha = \omega\delta + n$  where  $n < \omega$ , “ $\widehat{ST}_\alpha(c, p, e, A) \geq m$ ” is expressible in a formula of quantifier rank  $\leq \delta + \omega + m$ .*

**PROOF.** We follow the proof of the local case [GLLS]. Let  $M \in c$  such that  $M_p \in e$ .

“ $x \in M_\alpha(A)$ ” if and only if for all  $q, i$ , either  $q^i x \in q^{m_{q,i}} A$  and  $m_{q,i} < \alpha$  or  $q^i x \in q^\alpha A$ . By Lemma 3.1, “ $x \in q^\alpha A$ ” has quantifier rank  $\delta$  or  $\delta + 1$ , so this formula has quantifier rank  $\leq \delta + 1$ .

“ $x$  is a generator of  $M_\alpha^*(A)$ ” if and only if  $x \in M_\alpha(A)$  and either  $U(x) \simeq_\alpha M$  or  $x$  is torsion. “ $x$  is torsion” has quantifier rank 0 and “ $x \in M_\alpha(A)$ ” has quantifier rank  $\leq \delta + 1$ . For each  $x \in M_\alpha(A)$ , “ $U(x) \simeq_\alpha M$ ” if and only if  $jM \not\leq_\alpha U(x)$  for all  $j$  if and only if for every  $j$  there are  $q$  and  $i$  such that  $|q^i x| > m_{q,i+|j|_q}$  and  $\alpha > m_{q,i+|j|_q}$  if and only if  $\bigwedge_j \bigvee_{q,i} (q^i x \in q^{m_{q,i+|j|_q}+1} A \wedge m_{q,i+|j|_q} < \alpha)$ . This can be expressed by a formula of quantifier rank  $\leq \delta + 1$ .

“ $x \in \mu_\alpha A$ ” if and only if for all  $i$  either  $p^i x \in p^{m_{p,i}} A$  and  $m_{p,i} < \alpha$  or  $p^i x \in p^\alpha A$ , so it can be expressed by a formula of quantifier rank  $\leq \delta + 1$ .

“ $x$  is a generator of  $\mu_\alpha^* A$ ” if and only if  $x \in \mu_\alpha A$  and either  $|p^i x|_p > m_{p,i}$  for infinitely many  $i$  with  $m_{p,i} < \alpha$  or  $x$  is torsion. This can be expressed by a formula of quantifier rank  $\leq \delta + 1$ .

“ $x \in (M; p)_\alpha^* A$ ” if and only if  $x \in M_\alpha(A)$  and for some  $k \in \omega \exists x_1 \dots \exists x_k$  such that  $x = \sum \lambda_j x_j$  for some  $\lambda_1, \dots, \lambda_k \in \mathbb{Z}$  and each  $x_j$  either is one of the generators of  $\mu_\alpha^* A$ , or else is one of the generators of  $M_\alpha^*(A)$ . It follows that “ $x \in (M; p)_\alpha^* A$ ” can be expressed by a formula of quantifier rank  $\leq \delta + \omega$ .

“ $\widehat{ST}_\alpha(c, p, e, A) \geq m$ ” if and only if  $\exists x_1 \dots \exists x_m (x_1, \dots, x_m \in M_\alpha(A)$  and  $x_1, \dots, x_m$  are independent elements of infinite and prime power order modulo  $(M; p)_\alpha^* A$ ). Since “independent modulo  $(M; p)_\alpha^* A$ ” has quantifier rank  $\leq \delta + \omega$ , this formula has quantifier rank  $\leq \delta + \omega + m$ .  $\square$

**THEOREM 4.9.** *Suppose  $A$  and  $B$  are groups with partial decomposition bases. If  $A \equiv_\lambda B$  where  $\lambda = \omega\gamma$  for some  $\gamma$  a limit ordinal, then for all  $\alpha < \omega\lambda$ ,  $\hat{w}_\alpha(c, p, e, A) = \hat{w}_\alpha(c, p, e, B)$  for all  $c, p, e$ .*

**PROOF.** Write  $\alpha = \omega\delta + n$  and  $\delta = \omega\delta' + n'$ . By Corollary 4.5,  $\hat{w}_\alpha = \widehat{ST}_\alpha$ . By Lemma 4.8,  $\widehat{ST}_\alpha(c, p, e, A) \geq m$  may be expressed in a formula  $\phi_{p,m,\alpha}$  with quantifier rank  $\leq \delta + \omega + m$ . Note  $\delta' < \gamma$ , so since  $\gamma$  is a limit ordinal,  $\delta' + 3 < \gamma$ ,



$\lambda = \omega\gamma > \omega(\delta' + 3) > \omega\delta' + n' + \omega + m = \delta + \omega + m$ . Since  $A \equiv_\lambda B$ ,  $A$  and  $B$  satisfy the same formulas of quantifier rank  $\leq \lambda$ . In particular,  $A \models \phi_{p,m,\alpha}$  if and only if  $B \models \phi_{p,m,\alpha}$ . In other words,  $\widehat{ST}_\alpha(c, p, e, A) \geq m$  if and only if  $\widehat{ST}_\alpha(c, p, e, B) \geq m$ . Then  $\hat{w}_\alpha(c, p, e, A) = m$  if and only if  $\widehat{ST}_\alpha(c, p, e, A) = m$  if and only if  $\widehat{ST}_\alpha(c, p, e, B) = m$  if and only if  $\hat{w}_\alpha(c, p, e, B) = m$ .  $\square$

**COROLLARY 4.10.** *Let  $A$  and  $B$  be groups with partial decomposition bases such that  $A \equiv_\lambda B$  where  $\lambda = \omega\gamma$  and  $\gamma$  is a limit ordinal. Then*

- (1)  $\hat{u}_p(\alpha, A) = \hat{u}_p(\alpha, B)$  for all primes  $p$  and  $\alpha < \omega\lambda$ ;
- (2)  $\hat{w}_{\omega(\nu+1)}(c, p, e, A) = \hat{w}_{\omega(\nu+1)}(c, p, e, B)$  for every compatibility class  $c$  of Ulm matrices, prime  $p$ , equivalence class  $e$  of Ulm sequences and  $\nu < \lambda$ ;
- (3) if  $l(t(A \otimes \mathbb{Z}_p)) < \omega\lambda$ , then  $\hat{u}_p(\infty, A) = \hat{u}_p(\infty, B)$ .

**PROOF.** (1) and (3) follow from [BE, Theorem 3.1], and (2) follows from Theorem 4.9.  $\square$

**COROLLARY 4.11.** *Suppose  $A$  and  $B$  are groups with partial decomposition bases. If  $A \equiv_\infty B$  then  $\hat{w}(c, p, e, A) = \hat{w}(c, p, e, B)$  for all  $c, p, e$ .*

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