



1-15-2002

On Graphs with Equal Algebraic and Vertex Connectivity

Stephen J. Kirkland

University of Regina, Saskatchewan

Jason J. Moliterno

Sacred Heart University, moliternoj@sacredheart.edu

Michael Neumann

University of Connecticut - Storrs

Bryan L. Shader

University of Wyoming

Follow this and additional works at: http://digitalcommons.sacredheart.edu/math_fac

 Part of the [Algebra Commons](#)

Recommended Citation

Kirkland, S.J., Moliterno, J.J., Neumann, M. & Shader, B.L. (2002). On graphs with equal algebraic and vertex connectivity. *Linear Algebra and Applications*, 341(1-3), 45-56. doi: 10.1016/S0024-3795(01)00312-3

This Peer-Reviewed Article is brought to you for free and open access by the Mathematics Department at DigitalCommons@SHU. It has been accepted for inclusion in Mathematics Faculty Publications by an authorized administrator of DigitalCommons@SHU. For more information, please contact ferribyp@sacredheart.edu, lysobeyb@sacredheart.edu.



On graphs with equal algebraic and vertex connectivity

Stephen J. Kirkland ^{a,1}, Jason J. Molitierno ^b,
Michael Neumann ^{b,*,2}, Bryan L. Shader ^c

^a*Department of Mathematics and Statistics, University of Regina, Regina, Saskatchewan, Canada S4S 0A2*

^b*Department of Mathematics, University of Connecticut, Storrs, CT 06269-3009, USA*

^c*Department of Mathematics, University of Wyoming, Laramie, WY 82071-3036, USA*

Received 10 August 2000; accepted 31 December 2000

Submitted by C.-K. Li

Dedicated to T. Ando

Abstract

Let \mathcal{G} be an undirected unweighted graph on n vertices, let L be its Laplacian matrix, and let $L^\# = (\ell_{i,j}^\#)$ be the group inverse of L . It is known that for $\mathcal{Z}(L^\#) := (1/2) \max_{1 \leq i, j \leq n} \sum_{s=1}^n |\ell_{i,s}^\# - \ell_{j,s}^\#|$, the quantity $1/\mathcal{Z}(L^\#)$ is a lower bound on the algebraic connectivity $a(\mathcal{G})$ of \mathcal{G} , while the vertex connectivity of \mathcal{G} , $v(\mathcal{G})$, is an upper bound on $a(\mathcal{G})$. We characterize the graphs \mathcal{G} for which $v(\mathcal{G}) = a(\mathcal{G})$ and subsequently prove that if $n \geq v(\mathcal{G})^2$, then $v(\mathcal{G}) = a(\mathcal{G})$ holds if and only if $1/\mathcal{Z}(L^\#) = a(\mathcal{G}) = v(\mathcal{G})$. We close with an example showing that the equality $1/\mathcal{Z}(L^\#) = a(\mathcal{G})$ does not necessarily imply that $1/\mathcal{Z}(L^\#) = a(\mathcal{G}) = v(\mathcal{G})$. © 2002 Elsevier Science Inc. All rights reserved.

AMS classification: 5C50; 15A48

Keywords: Undirected graph; Algebraic connectivity; Vertex connectivity; Laplacian matrix

* Corresponding author.

E-mail address: m.neumann@uconn.edu (M. Neumann).

¹ Research supported by NSERC Grant No. OGP0138251.

² The work of this author was supported in part by NSF Grant No. DMS9973247.

1. Introduction

An *undirected graph* $\mathcal{G} = (V, E)$ on n vertices is a finite set V of cardinality n , whose elements are called *vertices*, together with a set E of two-element subsets of V called *edges*. It will be convenient to label the vertices by $1, \dots, n$. Associated with \mathcal{G} is its *Laplacian matrix* $L = (\ell_{i,j})$ which is defined as follows:

$$\ell_{i,j} = \begin{cases} -1 & \text{if } i \neq j \text{ and } i \text{ is adjacent to } j, \\ 0 & \text{if } i \neq j \text{ and } i \text{ is not adjacent to } j, \\ -\sum_{k \neq i} \ell_{i,k} & \text{if } i = j. \end{cases}$$

It is known that the Laplacian matrix is a symmetric positive semidefinite M-matrix, and we take its eigenvalues to be arranged in nondescending order: $0 = \lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_n$. Fiedler [4, p. 298] showed that $\lambda_2 > 0$ if and only if \mathcal{G} is connected, and as a result, $a(\mathcal{G}) := \lambda_2$ is known as the *algebraic connectivity* of \mathcal{G} . In that paper Fiedler also considers the *vertex connectivity* of \mathcal{G} , $v(\mathcal{G})$ —the minimal number of vertices whose removal yields a disconnected graph—and shows that if $\mathcal{G} \neq K_n$ (where K_n is the complete graph on n vertices), then

$$a(\mathcal{G}) \leq v(\mathcal{G}). \quad (1.1)$$

Another inequality involving $a(\mathcal{G})$ is studied in [8,9]. These papers rely on [7] which discusses how $a(\mathcal{G})$ can be studied both algebraically and graphically via the group inverse $L^\#(\mathcal{G})$.³ For a matrix $B \in \mathbb{C}^{n,n}$, define the quantity $\mathcal{L}(B)$ by

$$\mathcal{L}(B) := \frac{1}{2} \max_{1 \leq i, j \leq n} \sum_{s=1}^n |b_{i,s} - b_{j,s}| = \frac{1}{2} \max_{1 \leq i, j \leq n} \|e_i^T B - e_j^T B\|_1, \quad (1.2)$$

where e_k , $k = 1, \dots, n$, denote the usual unit coordinate vectors in \mathbb{C}^n . If \mathcal{G} is a connected graph with Laplacian matrix L and if $L^\#$ is its group inverse, then we have the following lower bound on $a(\mathcal{G})$:

$$\frac{1}{\mathcal{L}(L^\#(\mathcal{G}))} \leq a(\mathcal{G}). \quad (1.3)$$

We comment that (1.3) is a consequence of a more general theorem, due to Bauer et al. [1] (but see also [3,13], and [14, p. 63]), providing an upper bound on the modulus of eigenvalues of a matrix with constant row sums. We note that these references deal with the more general bound in the context of Markov processes. There, if $B \in \mathbb{R}^{n,n}$ is a transition matrix for an regular Markov chain, then (1.2) is known as the *coefficient of ergodicity of the chain*. We further mention that, for such a transition matrix B , the group inverse of $I - B$ plays a central role in the computation of various parameters important for the chain. As references for this we give here [2,11,12] and and some of the references cited therein.

³ Since $L^\#(\mathcal{G})$ is symmetric, $L^\#(\mathcal{G})$ coincides with the Moore–Penrose inverse of $L(\mathcal{G})$. We choose to use the terminology of group inverse, as many of the results we use arose in the context of group inverses.

In [8,9] some properties of the bound (1.3) are developed, while Ref. [6] shows that equality holds in (1.3) for the class of so-called *maximal graphs* (see [10] for more on maximal graphs). The goal of this paper is to better understand the inequalities (1.1) and (1.3). Specifically, we characterize the graphs \mathcal{G} such that

$$a(\mathcal{G}) = v(\mathcal{G}). \tag{1.4}$$

We then determine conditions on \mathcal{G} under which

$$a(\mathcal{G}) = v(\mathcal{G}) \iff \frac{1}{\mathcal{L}(L^\#(\mathcal{G}))} = a(\mathcal{G}) = v(\mathcal{G}). \tag{1.5}$$

Finally, we show through an example that in general,

$$\frac{1}{\mathcal{L}(L^\#(\mathcal{G}))} = a(\mathcal{G}) \not\Rightarrow \frac{1}{\mathcal{L}(L^\#(\mathcal{G}))} = a(\mathcal{G}) = v(\mathcal{G}). \tag{1.6}$$

2. Main results

We begin with some terminology and notation. If $\mathcal{G}_1 = (V_1, E_1)$ and $\mathcal{G}_2 = (V_2, E_2)$ are two graphs on disjoint sets of vertices, their *union*, $\mathcal{G}_1 + \mathcal{G}_2$, is the graph $(V_1 \cup V_2, E_1 \cup E_2)$. The *join*, $\mathcal{G}_1 \vee \mathcal{G}_2$, of \mathcal{G}_1 and \mathcal{G}_2 is the graph obtained from $\mathcal{G}_1 + \mathcal{G}_2$ by adding new edges from each vertex in \mathcal{G}_1 to every vertex of \mathcal{G}_2 . Throughout the paper we use the bold face $\mathbf{1}$ to denote the vector of all ones of the appropriate size, but occasionally, for the sake of clarity, we will subindex $\mathbf{1}$ as well as the identity matrix and the matrix J of all ones by the integer indicating their size.

We begin with a theorem characterizing the graphs \mathcal{G} for which equality holds in (1.1).

Theorem 2.1. *Let \mathcal{G} be a non-complete, connected graph on n vertices. Then $v(\mathcal{G}) = a(\mathcal{G})$ if and only if \mathcal{G} can be written as $\mathcal{G}_1 \vee \mathcal{G}_2$, where \mathcal{G}_1 is a disconnected graph on $n - v(\mathcal{G})$ vertices and \mathcal{G}_2 is a graph on $v(\mathcal{G})$ vertices with $a(\mathcal{G}_2) \geq 2v(\mathcal{G}) - n$.*

Proof. First suppose that $v(\mathcal{G}) = a(\mathcal{G})$. Then by simultaneously permuting rows and columns, the Laplacian matrix of \mathcal{G} can be written as

$$L(\mathcal{G}) = \left[\begin{array}{c|c|c} L(\mathcal{H}_1) + D_1 & 0 & -X \\ \hline 0 & L(\mathcal{H}_2) + D_2 & -Y \\ \hline -X^T & -Y^T & L(\mathcal{G}_2) + D_3 \end{array} \right],$$

where the (1, 1)-, (1, 2)-, (2, 1)-, and (2, 2)-blocks, together, form an $(n - v(\mathcal{G})) \times (n - v(\mathcal{G}))$ matrix; the (3, 3)-block is a $v(\mathcal{G}) \times v(\mathcal{G})$ matrix; and where $\mathcal{H}_1 + \mathcal{H}_2$ is the graph (necessarily disconnected) on $n - v(\mathcal{G})$ vertices formed from \mathcal{G} by deleting a collection of $v(\mathcal{G})$ suitable vertices; $L(\mathcal{H}_1)$ and $L(\mathcal{H}_2)$ are the corresponding Laplacian matrices, and D_1 and D_2 are suitable diagonal matrices. Suppose that

\mathcal{H}_1 has n_1 vertices and that \mathcal{H}_2 has n_2 vertices, where $n_1 + n_2 = n - v(\mathcal{G})$. Let $w^T = [n_2 \mathbf{1}_{n_1}^T \mid -n_1 \mathbf{1}_{n_2}^T \mid 0^T]$ and note that $w^T \mathbf{1} = 0$. Now

$$\begin{aligned} w^T L(\mathcal{G}) w &= n_2^2 \mathbf{1}_{n_1}^T (L(\mathcal{H}_1) + D_1) \mathbf{1}_{n_1} + n_1^2 \mathbf{1}_{n_2}^T (L(\mathcal{H}_2) + D_2) \mathbf{1}_{n_2} \\ &= n_2^2 \mathbf{1}^T D_1 \mathbf{1} + n_1^2 \mathbf{1}^T D_2 \mathbf{1}. \end{aligned} \tag{2.1}$$

Notice that each of D_1 and D_2 is a diagonal matrix with diagonal entries at most $v(\mathcal{G})$. Thus we have that

$$w^T L(\mathcal{G}) w \leq n_2^2 n_1 v(\mathcal{G}) + n_1^2 n_2 v(\mathcal{G}) = v(\mathcal{G}) w^T w, \tag{2.2}$$

with equality if and only if $D_1 = v(\mathcal{G}) I_{n_1}$ and $D_2 = v(\mathcal{G}) I_{n_2}$. Now, since $w^T \mathbf{1} = 0$, it follows from the Courant–Fischer minimax principle (see [5, p. 179]) that $a(\mathcal{G}) w^T w \leq w^T L(\mathcal{G}) w$. Hence, together with (2.2) we can write that

$$v(\mathcal{G}) w^T w = a(\mathcal{G}) w^T w \leq w^T L(\mathcal{G}) w \leq v(\mathcal{G}) w^T w$$

and so we must have that $D_1 = v(\mathcal{G}) I_{n_1}$ and $D_2 = v(\mathcal{G}) I_{n_2}$. Thus $\mathcal{G} = \mathcal{G}_1 \vee \mathcal{G}_2$, where $\mathcal{G}_1 = \mathcal{H}_1 + \mathcal{H}_2$ is a disconnected graph. As a result,

$$L(\mathcal{G}) = \left[\begin{array}{c|c} L(\mathcal{G}_1) + v(\mathcal{G}) I & -J \\ \hline -J & L(\mathcal{G}_2) + (n - v(\mathcal{G})) I \end{array} \right]. \tag{2.3}$$

Suppose that λ is an eigenvalue of $L(\mathcal{G}_1)$ having an eigenvector x such that $x^T \mathbf{1} = 0$ (note that $L(\mathcal{G}_1)$ has $n - v(\mathcal{G}) - 1$ such linearly independent eigenvectors). Then $[x^T \mid 0^T]^T$ is an eigenvector for $L(\mathcal{G})$ corresponding to $\lambda + v(\mathcal{G})$. It follows that $n - 2$ of the eigenvalues of $L(\mathcal{G})$ are of the form $\lambda + v(\mathcal{G})$, where $\lambda \in \sigma(L(\mathcal{G}_1))$ with an eigenvector orthogonal to $\mathbf{1}$, or $\gamma + n - v(\mathcal{G})$, where $\gamma \in \sigma(L(\mathcal{G}_2))$ with an eigenvector orthogonal to $\mathbf{1}$. Observe that 0 is also an eigenvalue of $L(\mathcal{G})$, as is n , the latter with eigenvector

$$\left[v(\mathcal{G}) \mathbf{1}_{n-v(\mathcal{G})}^T \mid -(n - v(\mathcal{G})) \mathbf{1}_{v(\mathcal{G})}^T \right]^T.$$

Consequently,

$$\begin{aligned} a(\mathcal{G}) &= \min \{ v(\mathcal{G}) + a(\mathcal{G}_1), n - v(\mathcal{G}) + a(\mathcal{G}_2) \} \\ &= \min \{ v(\mathcal{G}), n - v(\mathcal{G}) + a(\mathcal{G}_2) \} \end{aligned}$$

(since \mathcal{G}_1 is disconnected). We thus conclude that $a(\mathcal{G}_2) \geq 2v(\mathcal{G}) - n$, since $a(\mathcal{G}) = v(\mathcal{G})$.

Conversely, suppose that $\mathcal{G} = \mathcal{G}_1 \vee \mathcal{G}_2$, where \mathcal{G}_1 is a disconnected graph on $n - v(\mathcal{G})$ vertices and \mathcal{G}_2 is a graph on $v(\mathcal{G})$ vertices with $a(\mathcal{G}_2) \geq 2v(\mathcal{G}) - n$. Then $L(\mathcal{G})$ has the form as in (2.3). Therefore, by the preceding analysis, we see that

$$\begin{aligned} a(\mathcal{G}) &= \min \{ \{ v(\mathcal{G}) + a(\mathcal{G}_1), n - v(\mathcal{G}) + a(\mathcal{G}_2) \} \\ &= \min \{ v(\mathcal{G}), n - v(\mathcal{G}) + a(\mathcal{G}_2) \} \end{aligned}$$

(since \mathcal{G}_1 is disconnected). Since $a(\mathcal{G}_2) \geq 2v(\mathcal{G}) - n$, it follows that $a(\mathcal{G}) = v(\mathcal{G})$. □

We now briefly discuss the conditions given in Theorem 2.1. The condition that \mathcal{G} can be written as $\mathcal{G}_1 \vee \mathcal{G}_2$, where \mathcal{G}_1 is a disconnected graph on $n - v(\mathcal{G})$ vertices, is a purely graph theoretic condition. The following shows that it is simple to decide whether \mathcal{G} satisfies the graph theoretic condition, and if so to determine $v(\mathcal{G})$, \mathcal{G}_1 and \mathcal{G}_2 . Consider $\overline{\mathcal{G}}$, the graph which is the complement of \mathcal{G} . If $\overline{\mathcal{G}}$ is connected, then \mathcal{G} is not a join of graphs. Otherwise, let $\mathcal{H}_1, \mathcal{H}_2, \dots, \mathcal{H}_t$ be the connected components of $\overline{\mathcal{G}}$. It can be verified that the only possibility for \mathcal{G}_1 is an $\overline{\mathcal{H}_i}$. Thus, if each $\overline{\mathcal{H}_i}$ is connected, then \mathcal{G} does not satisfy the graph theoretic condition. Otherwise, choose \mathcal{H}_j so that \mathcal{H}_j has the largest number of vertices among the \mathcal{H}_i for which $\overline{\mathcal{H}_i}$ is disconnected. Then the graph theoretic condition is satisfied with $\mathcal{G}_1 = \overline{\mathcal{H}_j}$ and \mathcal{G}_2 the induced subgraph on the vertices of \mathcal{G} not in \mathcal{G}_1 .

Now assume that \mathcal{G} satisfies the graph theoretic condition; that is, assume that \mathcal{G} can be written as $\mathcal{G}_1 \vee \mathcal{G}_2$, where \mathcal{G}_1 is a disconnected graph on $n - v(\mathcal{G})$ vertices. The second condition, $a(\mathcal{G}_2) \geq 2v(\mathcal{G}) - n$, is a spectral condition. If $v(\mathcal{G}) \leq n/2$, then we see that the spectral condition holds without having to compute $a(\mathcal{G}_2)$. However, if $v(\mathcal{G}) > n/2$, then we must either compute $a(\mathcal{G}_2)$ or use known bounds on $a(\mathcal{G}_2)$ to compare $a(\mathcal{G}_2)$ and $2v(\mathcal{G}) - n$. For example, if the minimum degree of a vertex in \mathcal{G}_2 is less than $2v(\mathcal{G}) - n$, then the spectral condition is not satisfied. Note that for fixed $v(\mathcal{G})$ the spectral condition weakens as n increases. Thus, if \mathcal{G}_2 is has v vertices, then $v(\mathcal{G}_1 \vee \mathcal{G}_2) = a(\mathcal{G}_1 \vee \mathcal{G}_2)$ for each disconnected graph \mathcal{G}_1 with at least $v - a(\mathcal{G}_2)$ vertices.

Theorem 2.1 gives necessary and sufficient conditions for a graph to satisfy $v(\mathcal{G}) = a(\mathcal{G})$. Next we determine the graphs \mathcal{G} for which

$$v(\mathcal{G}) = \frac{1}{\mathcal{F}(L^\#(\mathcal{G}))}.$$

Since having

$$v(\mathcal{G}) = \frac{1}{\mathcal{F}(L^\#(\mathcal{G}))}$$

requires that $v(\mathcal{G}) = a(\mathcal{G})$, Theorem 2.1 is applicable and this is reflected in the conditions of the following lemma.

Lemma 2.2. *Suppose that \mathcal{G} is a graph on n vertices such that $\mathcal{G} = \mathcal{G}_1 \vee \mathcal{G}_2$, where \mathcal{G}_1 and \mathcal{G}_2 are graphs on $n - v(\mathcal{G})$ vertices and $v(\mathcal{G})$ vertices, respectively, and where \mathcal{G}_1 is a disconnected graph. Then*

$$L^\#(\mathcal{G}) = \left[\begin{array}{c|c} [L(\mathcal{G}_1) + v(\mathcal{G})I]^{-1} & -\frac{1}{n^2}J \\ \hline -\frac{n+v(\mathcal{G})}{v(\mathcal{G})n^2}J & [L(\mathcal{G}_2) + (n - v(\mathcal{G}))I]^{-1} \\ \hline -\frac{1}{n^2}J & -\frac{2n-v(\mathcal{G})}{(n-v(\mathcal{G}))n^2}J \end{array} \right],$$

where the (1, 1)-block is an $(n - v(\mathcal{G})) \times (n - v(\mathcal{G}))$ matrix and the (2, 2)-block is a $v(\mathcal{G}) \times v(\mathcal{G})$ matrix.

Proof. As $L(\mathcal{G}_1)$ and $L(\mathcal{G}_2)$ are both singular M-matrices, $L(\mathcal{G}_1) + v(\mathcal{G})I$ and $L(\mathcal{G}_2) + (n - v(\mathcal{G}))I$ are both nonsingular M-matrices and hence they are invertible and their inverses are nonnegative matrices. We have that

$$L(\mathcal{G}) = \left[\begin{array}{c|c} L(\mathcal{G}_1) + v(\mathcal{G})I & -J \\ \hline -J & L(\mathcal{G}_2) + (n - v(\mathcal{G}))I \end{array} \right],$$

where the (1, 1)-block is an $(n - v(\mathcal{G})) \times (n - v(\mathcal{G}))$ matrix and the (2, 2)-block is a $v(\mathcal{G}) \times v(\mathcal{G})$ matrix. Letting M be the right-hand side above, we find that $L(\mathcal{G})M = ML(\mathcal{G}) = I - \frac{1}{n}J$ and $MJ = JM = 0$. Thus M satisfies the defining properties (see [3]) of the group inverse of L , namely, $MLM = M$, $LML = L$, and both ML and LM are Hermitian. \square

Since $\mathcal{L}(A + bJ) = \mathcal{L}(A)$ for any square matrix A and scalar b , Lemma 2.2 immediately yields the following corollary.

Corollary 2.3. *Let \mathcal{G} be a graph on n vertices such that $\mathcal{G} = \mathcal{G}_1 \vee \mathcal{G}_2$, where \mathcal{G}_1 and \mathcal{G}_2 are graphs on $n - v(\mathcal{G})$ vertices and $v(\mathcal{G})$ vertices, respectively, and where \mathcal{G}_1 is a disconnected graph. Then*

$$\begin{aligned} &\mathcal{L}(L^\#(\mathcal{G})) \\ &= \max \left\{ \mathcal{L} \left([L(\mathcal{G}_1) + v(\mathcal{G})I]^{-1} \right), \mathcal{L} \left([L(\mathcal{G}_2) + (n - v(\mathcal{G}))I]^{-1} \right), \right. \\ &\quad \max_{\substack{1 \leq i \leq n - v(\mathcal{G}) \\ n - v(\mathcal{G}) + 1 \leq j \leq n}} \left\{ \frac{1}{2} \left[\left\| e_i^T ((L(\mathcal{G}_1) + v(\mathcal{G})I)^{-1} - \frac{1}{nv(\mathcal{G})}J) \right\|_1 \right. \right. \\ &\quad \left. \left. + \left\| e_j^T ((L(\mathcal{G}_2) + (n - v(\mathcal{G}))I)^{-1} - \frac{1}{(n - v(\mathcal{G}))n}J) \right\|_1 \right] \right\} \left. \right\}. \end{aligned} \tag{2.4}$$

In order to establish, under the conditions of Corollary 2.3, which of the three expressions in the right-hand side of (2.4) yields the maximum, we need to obtain more precise information about the matrices $[L(\mathcal{G}_1) + v(\mathcal{G})I]^{-1}$ and $[L(\mathcal{G}_2) + (n - v(\mathcal{G}))I]^{-1}$. Observe that both of these matrices are inverses of matrices in which the diagonal entries of a Laplacian matrix are perturbed. The following lemma and subsequent corollary give us useful information about the diagonals of the inverses of these matrices.

Lemma 2.4. *Let \mathcal{H} be a graph and $m > 0$. Form \mathcal{H}' from \mathcal{H} by adding an edge. Then*

$$\text{diag} \left([L(\mathcal{H}') + mI]^{-1} \right) \leq \text{diag} \left([L(\mathcal{H}) + mI]^{-1} \right).$$

Proof. $L(\mathcal{H}') = L(\mathcal{H}) + xx^T$ for some vector x so that

$$\begin{aligned} & [L(\mathcal{H}') + mI]^{-1} \\ &= [L(\mathcal{H}) + mI + xx^T]^{-1} \\ &= [L(\mathcal{H}) + mI]^{-1} - \frac{(L(\mathcal{H}) + mI)^{-1}xx^T(L(\mathcal{H}) + mI)^{-1}}{1 + x^T(L(\mathcal{H}) + mI)^{-1}x}. \end{aligned}$$

Since $[L(\mathcal{H}) + mI]^{-1}$ is positive definite, the result now follows by evaluating $e_i^T[L(\mathcal{H}') + mI]^{-1}e_i$ for each i . \square

Corollary 2.5. Let \mathcal{G} be a graph on n vertices such that $\mathcal{G} = \mathcal{G}_1 \vee \mathcal{G}_2$, where \mathcal{G}_1 and \mathcal{G}_2 are graphs on $n - m$ vertices and m vertices, respectively, and where $m > 0$. Then

$$\text{diag}\left([L(\mathcal{G}_1) + mI]^{-1}\right) > \frac{m + 1}{nm}I.$$

Proof. By Lemma 2.4,

$$\begin{aligned} \text{diag}\left([L(\mathcal{G}_1) + mI]^{-1}\right) &\geq \text{diag}\left([L(K_{n-m}) + mI]^{-1}\right) \\ &= \text{diag}\left([nI - J]^{-1}\right) > \text{diag}\left([(n + m)I - J]^{-1}\right) \\ &= \text{diag}\left(\frac{1}{n}\left[I + \frac{1}{m}J\right]\right) \\ &= \frac{m + 1}{nm}I. \quad \square \end{aligned}$$

Lemma 2.4 and Corollary 2.5 gave us necessary information about the first two quantities in the braces on the right-hand side of (2.4). We now consider the third quantity there.

Lemma 2.6. Let \mathcal{G} be a graph on n vertices such that $\mathcal{G} = \mathcal{G}_1 \vee \mathcal{G}_2$, where \mathcal{G}_1 and \mathcal{G}_2 are graphs on $n - v(\mathcal{G})$ vertices and $v(\mathcal{G})$ vertices, respectively, and where \mathcal{G}_1 is a disconnected graph. Then

$$\begin{aligned} \frac{1}{n} &\leq \max_{1 \leq i \leq n-v(\mathcal{G})} \left\| e_i^T \left([L(\mathcal{G}_1) + v(\mathcal{G})I]^{-1} - \frac{1}{nv(\mathcal{G})}J \right) \right\|_1 \\ &\leq \frac{n - v(\mathcal{G}) - 2}{nv(\mathcal{G})} + \frac{1}{v(\mathcal{G})} \end{aligned} \tag{2.5}$$

and

$$\frac{1}{n} \leq \max_{1 \leq j \leq v(\mathcal{G})} \left\| e_j^T \left([L(\mathcal{G}_2) + (n - v(\mathcal{G}))I]^{-1} - \frac{1}{n(n - v(\mathcal{G}))}J \right) \right\|_1$$

$$\leq \frac{v(\mathcal{G}) - 2}{nv(\mathcal{G})} + \frac{1}{(n - v(\mathcal{G}))}. \quad (2.6)$$

Proof. For the lower bound in (2.5), note that

$$[L(\mathcal{G}_1) + v(\mathcal{G})I]^{-1} - \frac{1}{nv(\mathcal{G})}J = (L(\mathcal{G}_1) + v(\mathcal{G})I + J)^{-1}.$$

Hence

$$\begin{aligned} & \max_{1 \leq i \leq n-v(\mathcal{G})} \left\| e_i^T \left((L(\mathcal{G}_1) + v(\mathcal{G})I)^{-1} - \frac{1}{nv(\mathcal{G})}J \right) \right\|_1 \\ & \geq \max_{1 \leq i \leq n-v(\mathcal{G})} \left\{ e_i^T \left([L(\mathcal{G}_1) + v(\mathcal{G})I]^{-1} - \frac{1}{nv(\mathcal{G})}J \right) \mathbf{1} \right\} \\ & = \max_{1 \leq i \leq n-v(\mathcal{G})} \left\{ e_i^T [L(\mathcal{G}_1) + v(\mathcal{G})I + J]^{-1} \mathbf{1} \right\} = \frac{1}{n}. \end{aligned}$$

Next, we consider the upper bound in (2.5). According to Corollary 2.5, the i th diagonal entry of $(L(\mathcal{G}_1) + v(\mathcal{G})I)^{-1}$ is at least

$$\frac{v(\mathcal{G}) + 1}{nv(\mathcal{G})} > \frac{1}{nv(\mathcal{G})}.$$

Also, we have that $e_i^T(L(\mathcal{G}_1) + v(\mathcal{G})I)^{-1}\mathbf{1} = (1/v(\mathcal{G}))$. Now write $e_i^T(L(\mathcal{G}_1) + v(\mathcal{G})I)^{-1}$ as $de_i^T + x^T$, where x^T has a 0 in its i th position and where $x^T \geq 0$. Then we have that

$$\begin{aligned} & \left\| e_i^T [(L(\mathcal{G}_1) + v(\mathcal{G})I)^{-1} - \frac{1}{nv(\mathcal{G})}J] \right\|_1 \\ & = \left\| de_i^T + x^T - \frac{1}{nv(\mathcal{G})}\mathbf{1}^T \right\|_1 \\ & = \left\| \left(d - \frac{1}{nv(\mathcal{G})} \right) e_i^T + x^T - \frac{1}{nv(\mathcal{G})}(\mathbf{1}^T - e_i^T) \right\|_1 \\ & \leq \left(d - \frac{1}{nv(\mathcal{G})} \right) + \|x^T\|_1 + \left\| \frac{1}{nv(\mathcal{G})}(\mathbf{1}^T - e_i^T) \right\|_1 \\ & = \left(d - \frac{1}{nv(\mathcal{G})} \right) + \left(\frac{1}{v(\mathcal{G})} - d \right) + \frac{n - v(\mathcal{G}) - 1}{nv(\mathcal{G})} \\ & = \frac{n - v(\mathcal{G}) - 2}{nv(\mathcal{G})} + \frac{1}{v(\mathcal{G})}, \end{aligned}$$

proving (2.5). The proof of (2.6) is analogous. \square

We are now in a position to prove the main result of this paper.

Theorem 2.7. *Suppose that \mathcal{G} is a non-complete, connected graph on n vertices with $n \geq v(\mathcal{G})^2$. Then $a(\mathcal{G}) = v(\mathcal{G})$ if and only if $1/\mathcal{L}(L^\#(\mathcal{G})) = v(\mathcal{G})$.*

Proof. Since we know that $v(\mathcal{G}) \geq a(\mathcal{G}) \geq 1/\mathcal{L}(L^\#(\mathcal{G}))$, we see that if $1/\mathcal{L}(L^\#(\mathcal{G})) = v(\mathcal{G})$, then necessarily $v(\mathcal{G}) = a(\mathcal{G})$.

Now suppose that $v(\mathcal{G}) < a(\mathcal{G})$. From Theorem 2.1 we know that $\mathcal{G} = \mathcal{G}_1 \vee \mathcal{G}_2$, where \mathcal{G}_1 is a disconnected graph on $n - v(\mathcal{G})$ vertices with $a(\mathcal{G}_2) \geq 2v(\mathcal{G}) - n$ and where \mathcal{G}_2 is a graph on $v(\mathcal{G})$ vertices. Evidently we need only prove that $\mathcal{L}(L^\#(\mathcal{G})) = 1/v(\mathcal{G})$. By Corollary 2.3 we have that

$$\begin{aligned} &\mathcal{L}(L^\#(\mathcal{G})) \\ &= \max \left\{ \mathcal{L} \left([L(\mathcal{G}_1) + v(\mathcal{G})I]^{-1} \right), \mathcal{L} \left([L(\mathcal{G}_2) + (n - v(\mathcal{G}))I]^{-1} \right), \right. \\ &\quad \left. \max_{\substack{1 \leq i \leq n-v(\mathcal{G}) \\ n-v(\mathcal{G})+1 \leq j \leq n}} \left\{ \frac{1}{2} \left[\left\| e_i^T \left([L(\mathcal{G}_1) + v(\mathcal{G})I]^{-1} - \frac{1}{nv(\mathcal{G})}J \right) \right\|_1 \right. \right. \right. \\ &\quad \left. \left. \left. + \left\| e_j^T \left([L(\mathcal{G}_2) + (n - v(\mathcal{G}))I]^{-1} - \frac{1}{(n - v(\mathcal{G}))n}J \right) \right\|_1 \right] \right\} \right\}. \end{aligned}$$

Note that $(L(\mathcal{G}_2) + (n - v(\mathcal{G}))I)^{-1}$ is a non-negative matrix with row sums $1/(n - v(\mathcal{G}))$, thus showing that

$$\mathcal{L} \left([L(\mathcal{G}_2) + (n - v(\mathcal{G}))I]^{-1} \right) \leq \frac{1}{n - v(\mathcal{G})} \leq \frac{1}{v(\mathcal{G})}.$$

From Lemma 2.6 we find that for each pair i and j ,

$$\begin{aligned} &\frac{1}{2} \left[\left\| e_i^T \left([L(\mathcal{G}_1) + v(\mathcal{G})I]^{-1} - \frac{1}{nv(\mathcal{G})}J \right) \right\|_1 \right. \\ &\quad \left. + \left\| e_j^T \left([L(\mathcal{G}_2) + (n - v(\mathcal{G}))I]^{-1} - \frac{1}{n(n - v(\mathcal{G}))}J \right) \right\|_1 \right] \\ &\leq \frac{1}{2} \left[\frac{n - v(\mathcal{G}) - 2}{nv(\mathcal{G})} + \frac{1}{v(\mathcal{G})} + \frac{v(\mathcal{G}) - 2}{n(n - v(\mathcal{G}))} + \frac{1}{n - v(\mathcal{G})} \right] \\ &= \frac{1}{v(\mathcal{G})} + \frac{1}{2} \left[\frac{n - v(\mathcal{G}) - 2}{nv(\mathcal{G})} - \frac{1}{v(\mathcal{G})} + \frac{v(\mathcal{G}) - 2}{n(n - v(\mathcal{G}))} + \frac{1}{n - v(\mathcal{G})} \right] \\ &= \frac{1}{v(\mathcal{G})} + \frac{1}{2} \left[\frac{2(v(\mathcal{G})^2 - n)}{nv(\mathcal{G})(n - v(\mathcal{G}))} \right] \leq \frac{1}{v(\mathcal{G})}, \end{aligned}$$

the last inequality following from the hypothesis that $n \geq v(\mathcal{G})^2$. Finally, we claim that

$$\mathcal{L}([L(\mathcal{G}_1) + v(\mathcal{G})I]^{-1}) = \frac{1}{v(\mathcal{G})}.$$

To see this note that $(L(\mathcal{G}_1) + v(\mathcal{G})I)^{-1}$ is a direct sum of positive matrices each of which corresponds to a connected component of \mathcal{G} and each with constant row sums equal to $1/v(\mathcal{G})$. Hence

$$\| (e_i^T - e_j^T)(L(\mathcal{G}_1) + v(\mathcal{G})I)^{-1} \|_1 \leq \frac{2}{v(\mathcal{G})}$$

for any pair of indices i and j . Furthermore with i and j corresponding to rows in different direct summands,

$$\| (e_i^T - e_j^T)(L(\mathcal{G}_1) + v(\mathcal{G})I)^{-1} \|_1 = \frac{2}{v(\mathcal{G})}.$$

Hence

$$\mathcal{Z}((L(\mathcal{G}_1) + v(\mathcal{G})I)^{-1}) = \frac{1}{v(\mathcal{G})},$$

as claimed. It now follows that

$$\mathcal{Z}(L^\#(\mathcal{G})) = \frac{1}{v(\mathcal{G})}. \quad \square$$

Theorem 2.7 includes the hypothesis that $n \geq v^2(\mathcal{G})$. The following example shows that that hypothesis cannot be relaxed.

Example 2.8. Suppose that we have integers n and w such that $w^2 > n$ and $n/2 \geq w \geq 3$. Consider the graph \mathcal{G} on n vertices constructed as follows: Let \mathcal{G}_1 and \mathcal{G}_2 be empty graphs on $n - w$ and w vertices, respectively, and let $\mathcal{G} = \mathcal{G}_1 \vee \mathcal{G}_2$. Since $w \leq n/2$, we find that $v(\mathcal{G}) = w$. Further, we have that $a(\mathcal{G}_2) = 0 \geq 2v(\mathcal{G}) - n$ so that, by Theorem 2.1, $a(\mathcal{G}) = v(\mathcal{G})$. By Lemma 2.2, we see that

$$L^\#(\mathcal{G}) = \left[\begin{array}{c|c} \frac{1}{v(\mathcal{G})}I - \left[\frac{n+v(\mathcal{G})}{v(\mathcal{G})n^2} \right]J & -\frac{1}{n^2}J \\ \hline -\frac{1}{n^2}J & \frac{1}{n-v(\mathcal{G})}I - \left[\frac{2n-v(\mathcal{G})}{(n-v(\mathcal{G})n^2)} \right]J \end{array} \right],$$

where the (1, 1)-block is an $(n - v(\mathcal{G})) \times (n - v(\mathcal{G}))$ matrix and the (2, 2)-block is a $v(\mathcal{G}) \times v(\mathcal{G})$ matrix. It follows that

$$\begin{aligned} \mathcal{Z}(L^\#(\mathcal{G})) &= \frac{1}{2} \left[\left\| \frac{1}{v(\mathcal{G})}e_i^T - \frac{1}{nv(\mathcal{G})}\mathbf{1}^T \right\|_1 \right. \\ &\quad \left. + \left\| \frac{1}{n-v(\mathcal{G})}e_i^T - \frac{1}{n(n-v(\mathcal{G}))}\mathbf{1}^T \right\|_1 \right] \\ &= \frac{1}{2} \left[\frac{1}{v(\mathcal{G})} + \frac{n-v(\mathcal{G})-2}{nv(\mathcal{G})} + \frac{1}{n-v(\mathcal{G})} + \frac{v(\mathcal{G})-2}{n(n-v(\mathcal{G}))} \right] \\ &= \frac{1}{v(\mathcal{G})} + \frac{v(\mathcal{G})^2 - n}{nv(\mathcal{G})(n-v(\mathcal{G}))} > \frac{1}{v(\mathcal{G})}. \end{aligned}$$

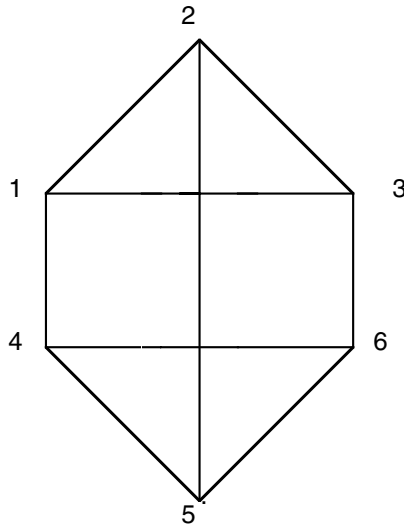
We see then that, in general, the hypothesis in Theorem 2.7 that $n \geq v(\mathcal{G})^2$ cannot be weakened.

We close the paper with an example showing that, in general, $1/\mathcal{L}(L^\#(\mathcal{G})) = a(\mathcal{G})$ does not imply that $1/\mathcal{L}(L^\#(\mathcal{G})) = a(\mathcal{G}) = v(\mathcal{G})$.

Example 2.9. Let \mathcal{G}_k be the graph on $n = 2k, k > 1$, vertices, whose Laplacian is given by

$$L = \begin{bmatrix} (k + 1)I_k - J_k & -I_k \\ -I_k & (k + 1)I_k - J_k \end{bmatrix}.$$

For instance, \mathcal{G}_3 is the following graph on six vertices:



The eigenvalues of L turn out to be $0, 2, k$, and $k + 2$, each of the last two with multiplicity $k - 1$; in particular, we find that $a(\mathcal{G}_k) = 2$. It is also straightforward to determine that $v(\mathcal{G}_k) = k$

It turns out that $L^\#$ is given by

$$L^\# = \begin{bmatrix} \frac{k+1}{k(k+2)} I_k + \frac{(k+1)(k-2)}{4k^2(k+2)} J_k & \frac{1}{k(k+2)} I_k - \frac{k^2+k+2}{4k^2(k+2)} J_k \\ \frac{1}{k(k+2)} I_k - \frac{k^2+k+2}{4k^2(k+2)} J_k & \frac{k+1}{k(k+2)} I_k + \frac{(k+1)(k-2)}{4k^2(k+2)} J_k \end{bmatrix} - \frac{1}{4k^2} J_{2k}.$$

From this last expression, it is not difficult to determine that $1/\mathcal{L}(\mathcal{G}_k) = 2$, so that when $k \geq 3$, we have $1/\mathcal{L}(\mathcal{G}_k) = a(\mathcal{G}_k) = 2 < v(\mathcal{G}_k) = k$.

References

- [1] F.L. Bauer, E. Deutsch, J. Stoer, Abschätzungen für die Eigenwerte positiver linearer Operatoren, *Linear Algebra Appl.* 2 (1969) 275–301.
- [2] S.L. Campbell, C.D. Meyer Jr., *Generalized Inverses of Linear Transformations*, Dover, New York, 1991.
- [3] E. Deutsch, C. Zenger, Inclusion domains for eigenvalues of stochastic matrices, *Numer. Math.* 18 (1971) 182–192.
- [4] M. Fiedler, Algebraic connectivity of graphs, *Czech. Math. J.* 23 (1973) 298–305.
- [5] R.A. Horn, C.R. Johnson, *Matrix Analysis*, Cambridge University Press, New York, 1985.
- [6] S.J. Kirkland, J.J. Moliterno, M. Neumann, The sharpness of a lower bound on the algebraic connectivity for maximal graphs, *Linear Multilinear Algebra*, to appear.
- [7] S. Kirkland, M. Neumann, B. Shader, Distances in weighted trees and group inverse of Laplacian matrices, *SIAM J. Matrix Anal. Appl.* 18 (1997) 827–841.
- [8] S.J. Kirkland, M. Neumann, B. Shader, Bounds on the subdominant eigenvalue involving group inverses with applications to graphs, *Czech. Math. J.* 47 (1998) 1–20.
- [9] S.J. Kirkland, M. Neumann, B. Shader, On a bound on algebraic connectivity: the case of equality, *Czech. Math. J.* 48 (1998) 65–76.
- [10] R. Merris, Degree maximal graphs are Laplacian integral, *Linear Algebra Appl.* 199 (1994) 381–389.
- [11] C.D. Meyer Jr., The role of the group generalized inverse in the theory of finite Markov chains, *SIAM Rev.* 17 (1975) 443–464.
- [12] C.D. Meyer Jr., The role of the group generalized inverse in the theory of finite Markov chains, *SIAM Rev.* 6 (2000) 62–71.
- [13] A. Paz, *Introduction to Probabilistic Automata*, Academic Press, New York, 1971.
- [14] E. Seneta, *Non-negative Matrices and Markov Chains*, 2nd ed., Springer, New York, 1981.